

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Avi Ashkenazi, et al. Docket No.: 39780-2730P1C23
Serial No.: 09/993,748 Group Art Unit: 1647
Filing Date: November 14, 2001 Examiner: Robert Landsman
For: **PRO1346 POLYPEPTIDES**
Express Mailing Label No.: EL 977 673 793 US
Mailing Date: September 9, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

☐ a certification as specified in §1.97(e) is provided below; or

☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

A. a certification as specified in §1.97(e) is completed below; and

B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and

C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 39780-2730P1C23).

Respectfully submitted,

Dated: September 9, 2004

By: Daphne Reddy
Daphne Reddy, Ph.D.
Reg. No. 53,507

HELLER EHRMAN WHITE & MCAULIFFE LLP

Customer No. 09157/35489

275 Middlefield Road

Menlo Park, CA 94025-3506

Telephone: (650) 324-7000

Facsimile: (650) 324-0638

INFORMATION DISCLOSURE STATEMENT PTO-1449			ATTY. DOCKET NO.: 39780-2730P1C23		SERIAL NO.: 09/993,748	
			APPLICANT : Ashkenazi, et al.			
			FILING DATE: 11/14/01		GROUP: 1647	
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
						<input type="checkbox"/> <input type="checkbox"/>
						<input type="checkbox"/> <input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Dayhoff, Accession No.: P_AAB65250, WO200073454-A1, Pub. Date: Dec. 7, 2000, Ashkenazi, A.J. et al.					
	Dayhoff, Accession No.: P_AAY66727, WO9963088-A2, Pub. Date: Dec. 9, 1999, Baker, K. et. al.					
	Dayhoff, Accession No.: P_AAB33444, WO200053758-A2, Pub. Date: Sept. 14, 2000, Ashkenazi, A.J. et.al.					
	GenBank, Accession No.: P_AAC58609, WO200053758-A2, Pub. Date: Sept. 14, 2000, Ashkenazi, A.J. et.al.					
	GenBank, Accession No.: P_AAF44217, WO200073454-A1, Pub. Date: Dec. 7, 2000, Ashkenazi, A.J. et. al.					
	GenBank, Accession No.: P_AAZ65071, WO9963088-A2, Pub. Date: Dec. 9, 1999, Baker, K. et.al.					
EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.